

DATE: February 12, 2019

CIRCULARS

RBI

- No New Circulars

NSE

- Settlement Calendar for Offer for Sale of shares of Axis Bank Limited
- Proposed Offer for Sale of Axis Bank Limited
- Trade for Trade
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Introduction of NSCCL–MASS for functionalities currently available in NSE Clearing Management System (NCMS)
- Operating ranges applicable to No Price Band Securities
- Operating ranges applicable to Stock Futures contracts
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- SEBI Order in the matter of Moongipa Investments Limited
- Physical settlement of stock derivatives
- Introduction of Futures contracts on Brent Crude Oil in Commodity Derivatives Segment
- Acceptance of Fixed Deposit Receipts (FDRs) in electronic form
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BSE

- Applicability of Additional Surveillance Measure
- Trading System APIs mandatory release in Commodity Derivatives segment
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Charges for not uploading the IML/BOLT TWS/BOLT PLUS Location information for the month of January 2019.
- Introduction of Futures contracts on Cotton in Commodity Derivatives Segment.
- Download of Penalty Report for modification of Client Codes of non-institutional trades (All Segments)
- Charges for trading with unregistered UCC/UCC without PAN for the month of January, 2019
- Revision in Dynamic Price Band for Stock Futures - Update
- Revision in Dynamic Price Band for Securities having dummy Price Band - Update
- Commencement of business by Trading Member - M.D.Overseas Limited. (Clg. No. 4093) in Currency Derivatives segment.
- Graded Surveillance Measure (GSM) - Shortlisting of scrips
- Applicability of Additional Surveillance Measure
- Physical Settlement of Stock Derivatives
- Order in the matter of Nissan Copper Limited
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger - "Upload of Holding Statement"

ICEX

- No New Circular

MCX

- Revised List of Approved Securities, Approved Commodities and Approved Banks
- Warehouse for Delivery of Cotton Bales
- Corrigendum to procedure for dealing with Aluminium and Zinc Ingots at MCXCCL Accredited Warehouses
- Revision in Threshold Limits for Concentration Margin
- Revised List of Approved Banks

SEBI

- Relaxation from requirement to furnish a copy of PAN for transfer of equity shares of listed entities executed by non-residents
- Framework for utilization of Financial Security Deposit (FSD)
- Adjudication order in respect of Sham S Gandhi in the matter of Finalysis Credit & Guarantee Company Limited
- Physical settlement of stock derivatives
- Format for annual secretarial audit report and annual secretarial compliance report for listed entities and their material subsidiaries

NSDL

- No New Circulars

NCDEX

- Live Trading from DR Site
- Settlement Price of Refined Soy Oil Option Contract expired on February 08 2019
- Settlement Price of Options contract expired on February 08 2019
- Performance review of Public Interest Directors (PIDs)

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