

DATE: April 24, 2019

CIRCULARS

RBI

- Auction of Government of India Dated Securities
- Auction for Sale (Issue/re-issue) of Government Stock (GS)
- Licensing as Authorised Dealer- Category II
- Exclusion of "DBS Bank Ltd" from the Second Schedule of the Reserve Bank of India Act, 1934

NSE

- Simulated Environment ??? Consolidated Circular
- Exclusion of Futures & Options Contracts on 34 securities
- List of securities moving to Stage III of Graded Surveillance Measure (GSM)
- United Nations Security Council Consolidated List
- Exclusion of Futures and Options contracts on 34 securities
- Collection of penalty
- Collection of penalty
- Collection of penalty
- Late/ Non Submission charges for System Audit Report - period ended March 31, 2019.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

- [SEBI order in the matter of Dreamway Industries Ltd.’](#)
- [SEBI order in the matter of SLF Investment India Limited](#)
- [SEBI order in the matter of Siyaram Development and Construction Limited](#)
- [Revision in Scheme of Strikes in Stock Options](#)
- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [Mock Trading from BCP/DR Site for OFS on Saturday, April 27, 2019](#)
- [Commodity Derivatives Segment – Settlement Calendar for May 2019](#)
- [SEBI order in the matter of Kashyap Technologies Limited](#)
- [Mock trading from BCP/DR Site on Saturday, April 27, 2019](#)
- [Draft Operating Guidelines – Interoperability among Clearing Corporations](#)
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BSE

- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [TRADE-TO-TRADE SCRIPS – Update](#)
- [Exclusion of Futures and Options contracts of securities from Equity Derivatives Segment](#)
- [Applicability of Additional Surveillance Measure](#)
- [UNSC Consolidated list – As on 3 April 2019](#)
- [Graded Surveillance Measure \(GSM\) – List of securities moving in to their respective GSM Stages](#)
- [Suspension of Trading in Debentures of HDB Financial Services Limited](#)
- [Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”](#)
- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [Commencement of business by Trading Member – S R Stock Broking Pvt. Ltd. \(Clg. No. 6655\) in Currency Derivatives segment.](#)

- [Order in the matter of Dreamway Industries Ltd.](#)
- [Order in the matter of SLF Investment India Ltd.](#)
- [Final Order in the matter of Siyaram Development and Construction Limited \(SDCL\)](#)

MCX

- [Consolidated list of individuals / entities designated by the United Nations Security Council](#)
- [Farmer Producer Organisations \(FPO\) in the commodity derivatives market](#)
- [Due Date Rates](#)
- [Commencement of Crude Oil July 2019 Option Contract with Crude Oil \(100 Barrels\) Futures as underlying](#)
- [Commencement of Futures Trading in Two New Contracts](#)
- [MCX File Formats \(version 17.4.4\)](#)
- [Securities Pledged as Collateral](#)
- [Expulsion of Member](#)
- [Expulsion of Member](#)
- [Expulsion of Member](#)
- [Revision in Margin Period of Risk \(MPOR\)](#)
- [Payment of Stamp Duty in Uttar Pradesh](#)
- [Due Date Rates](#)
- [Commencement of Gold Option March 2020 Contract with Gold \(1 Kg\) Futures as underlying](#)
- [Enhancement in e-Xchange with respect to Client Margin Collection Reporting](#)
- [SEBI Annual fees payable by Clearing Members for the Financial Year 2019-20](#)

SEBI

- [Guidelines for determination of bidding, allotment and trading lot size for Real Estate Investment Trusts \(REITs\) and Infrastructure Investment Trusts \(InvITs\)](#)

- [Adjudication order in respect of Geojit Financial Services Limited in the matter of Geojit BNP Paribas Financial Services Limited](#)
- [Adjudicating Order in respect of Viprit Trading Pvt. Ltd. in the matter of dealings in illiquid stocks options at BSE](#)
- [Securities and Exchange Board of India \(Infrastructure Investment Trusts\) \(Amendment\) Regulations, 2019](#)
- [Order in the matter of Goenka Diamonds Limited](#)
- [Adjudication Order against \(Late\) Shri Nathu Lal Sharma in the matter of Sulabh Engineers & Services Limited](#)

NSDL

- [2019-0044-Tender_Offer_PBM Polytex Limited - Tender offer for Buyback](#)

NCDEX

- [Internal Audit of Clearing Members of NCCL](#)
- [UNSC Consolidated list - As on April 03, 2019](#)
- [Early Pay-in Facility for Farmer Producer Organisation \(FPO\)](#)
- [Submission of Half Yearly Net worth Certificate as on March 31 2019](#)
- [Non-Availability of Extranet Common portal](#)
- [Revision in booking quantity and booking charges of warehouse space reservation system](#)
- [Collection of Broker Turnover Stamp Duty by Agency on Transaction of Security and commodity through Brokers for State of Uttar Pradesh](#)
- [Market Access through Authorised Persons](#)
- [Revised file format for Unique Client Code Details](#)

ICEX

- MCCIL–Settlement calendar for May 2019
- Members Indemnity Insurance Policy
- Submission of Internal Audit Report for half year ended March 31, 2019
- Change in Statutory Auditor of member

INX

- Change in File Format from Version 1.13 to 1.14
- Submission of Half yearly Networth Certificate as on March 31, 2019 for the financial year 2018-19

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